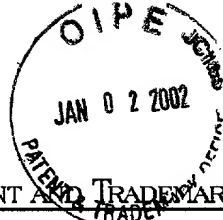




UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/939,209	08/24/2001	Levitt	00-539-US

CONFIRMATION NO. 3394

FORMALITIES LETTER



OC000000006990202

Frederick H. Colen
Reed Smith LLP
P.O. Box 488
Pittsburgh, PA 15230-0488

Date Mailed: 10/29/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/04/2002 SMINASS1 00000065 09939209

FILED UNDER 37 CFR 1.53(b)

01 FC:201	370.00 OP
02 FC:203	225.00 OP
03 FC:202	714.00 OP
04 FC:205	65.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1810.
 - \$450 for 25 total claims over 20.
 - \$1360 for 17 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2650.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

ReedSmith



Frederick H. Colen, Esq. • 412.288.4164 • fcolen@reedsmith.com

N 0300\$
Box/8eq.

December 28, 2001

Commissioner for Patents
BOX MISSING PARTS
P.O. Box 2327
Arlington, VA 22202

Re: Applicant: Levitt et al.
Title: METHODS AND SYSTEMS FOR FACILITATING
THE DIAGNOSIS AND TREATMENT OF SCHIZOPHRENIA
Serial No.: 09/939,209
Filing Date: August 24, 2001
Art Unit: 1645
Examiner: [Not Yet Assigned]
Atty. Docket: 00-539-US

Dear Sir:

Enclosed are the following for filing in connection with the above-referenced application:

1. A Response to Notice to File Missing Parts of Application -- Filing Date Granted;
2. An executed Declaration and Power of Attorney;
3. An executed Verified Statement (Declaration) Claiming Small Entity Status -- Non-Profit Organization;
4. An executed Verified Statement (Declaration) Claiming Small Entity Status -- Independent Inventors;

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being

MAILED

FACSIMILE

☒ deposited with the United States Postal Service on December 28, 2001, with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, BOX MISSING PARTS, P.O. Box 2327, Arlington, VA 22202.

☐ transmitted by facsimile on to the U.S. Patent and Trademark Office telefax number

Lori B. Amoroso

Lori B. Amoroso

(Signature of person mailing paper or fee)

(Signature of person mailing paper or fee)

435 Sixth Avenue
Pittsburgh, PA 15219-1886
412.288.3131
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Delaware
New Jersey
New York
Pennsylvania
United Kingdom
Virginia
Washington, DC

BOX MISSING PARTS

December 28, 2001

Page 2

ReedSmith

5. A check in the amount of \$1,374.00, representing the filing fee, surcharge and extra claims fees for a small entity;
6. A copy of the Notice to File Missing Parts;
7. An executed Assignment with attached completed Recordation Form Cover Sheet – Patents Only;
8. A second check in the amount of \$40.00, representing the assignment recordation fee;
9. A substitute computer readable form copy of the Sequence Listing (computer disk);
10. A paper copy of substitute Sequence Listing;
11. A Statement under 37 C.F.R § 1.821(e), (f), (g) or 1.825(b), (d);
12. Substitute Formal Drawings, Sheets 1-8, Figures 1-6; and
13. A self-addressed stamped postcard, return of which is requested to acknowledge receipt of the enclosed documents.

The Director is hereby authorized to charge any additional fees due, or credit any overpayment, to Deposit Account No. 18-0582. A duplicate copy of this authorization is enclosed.

Very truly yours,

REED SMITH LLP

By


Frederick H. Colen, Esq.

FHC:JLB:lba

Enclosures

cc: Frances J. Connell, Esq. (w/o encls.)
J. G. Dilmore, Ph.D. (w/o encls.)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

LEVITT et al.

Serial No.: 09/939,209

Filed: August 24, 2001

Art Unit: 1645

Examiner: Not yet assigned

Atty. Docket No.: 00-539-US



METHODS AND SYSTEMS FOR
FACILITATING THE DIAGNOSIS
AND TREATMENT OF
SCHIZOPHRENIA

Pittsburgh, Pennsylvania 15230

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF APPLICATION - FILING DATE GRANTED**

BOX MISSING PARTS
Commissioner for Patents
P.O. Box 2327
Arlington, VA 22202

Dear Sir:

In response to the Notice To File Missing Parts Of
Application - Filing Date Granted mailed on October 29, 2001,
Applicants hereby submit the following:

1. ☒ An executed Combined Declaration And Power Of Attorney.
2. ☒ An assignment of the application to the University of Pittsburgh and an assignment recordation cover sheet.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being

MAILED

☒ deposited with the United States Postal Service on December 28, 2001, with sufficient postage as first-class mail in an envelope addressed to Box Missing Parts, Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202.

FACSIMILE

☐ transmitted by facsimile on [date] to the U.S. Patent and Trademark Office.

Lori B. Amoroso

Lori B. Amoroso
(Signature of person mailing paper or fee)

Type Signature Name

(Signature of person mailing paper or fee)

3. ☒ Verified statements claiming small entity status
- ☒ are attached.
- ☐ has been filed.
- ☐ A separate refund request accompanies this paper.
4. ☒ The filing fee is calculated below.

FOR	(Col. 1)	(Col. 2)	SMALL ENTITY			OTHER THAN A SMALL ENTITY	
	NO. ON FILE	NO. EXTRA	RATE	FEE		RATE	FEE
Basic Fee	////////	////////	////	\$370	OR	////	\$740
Total	45 -20	25	X \$ 9	= \$225	OR	X \$ 18	= \$
Claims							
Ind. Claims	20 -3	17	X \$ 42	= \$714	OR	X \$ 84	= \$
<input type="checkbox"/> Multiple Claims	Dependent Presented		X \$140	= \$	OR	+ \$280	= \$0
TOTAL				= \$1,309	OR	TOTAL	= \$

5. The surcharge for late payment of the filing fee and/or the late filing of an original Declaration is:

☒ \$65.00 (small entity) ☐ \$130.00 (large entity)

6. Fee Payment Being Made:

☒ Enclosed

<input checked="" type="checkbox"/> Filing fee	\$1,309.00
<input checked="" type="checkbox"/> Surcharge	\$65.00
<input checked="" type="checkbox"/> Recording Assignment	
[\$40.00; 37 CFR 1.21(h)]	\$40.00
Total fees enclosed	\$1,414.00

7. ☒ A check in the amount of \$1,374.00 to cover the filing fee, surcharge and extra claims fees are enclosed.
8. ☒ A second check in the amount of \$40.00 to cover the assignment recordation fee is enclosed.
9. ☐ The Director is authorized to charge the \$[Amount] filing fee, the \$[Amount] surcharge and the \$[Amount] assignment recordation fee to Deposit Account No. 18-0582.

- Respectfully submitted,

Frederick H. Colon

REED SMITH LLP
P.O. Box 488
Pittsburgh, PA 15230-0488
(412) 288-4164

Attorney for Applicants